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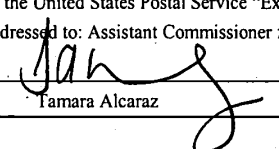
Docket No. 226272001403

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Tamara Alcaraz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

James M. ALLEN

Serial No.: 09/731,941

Filing Date: December 6, 2000

For: PACKAGING CELL LINES FOR
GENERATION OF HIGH TITERS OF
RECOMBINANT AAV VECTORS

Examiner: B. Whiteman

Group Art Unit: 1635

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SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents numbered 15, 39-41 and 43-45 are submitted herewith per the Examiner's request of February 13, 2002 (Paper #9).

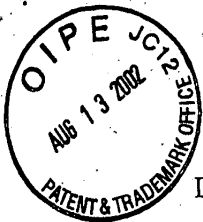
Document 15 was denied entry due to the date being incorrectly listed on the Form PTO-1449. The date has been amended to reflect the correct date of December 8, 1994.

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Documents numbered 39-41 and 43-58 were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 08/564,167, filed December 18, 1995. The Examiner stated that these documents were not available to him. Accordingly, copies are included herewith. This protocol conforms with 37 C.F.R. § 1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☒ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of _____ is enclosed.
 - ☒ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.




The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and 1.98 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the fee transmittal is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **226272001403**. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: August 13, 2002

Respectfully submitted,

By: 
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Registration No. 40,130

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